

Second Phase Analysis report

Procurement Observations against the KPIs:

Transparency standards in Electricity Companies:

Key Performance Indicators (KPIs) as a Basis of Analysis:

Transparency Standards	<ul style="list-style-type: none">• Disclosure of NIBs or NITs on SPPP, Departmental portal and local media. Disclosure of contract status for oversight of users/civil society (Sec 17)• Disclosure of contract award information with quality and rates (Sec 19.6). Disclosure of the increase in the quantity of the procuring material (Rule 70)• Disclosure of grievance redressal results
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Objective of the Analysis:

Below mentioned analysis aims to bring out the current status of the implementation of the RTPP Act and creating a set of primary data on the same for the selected two departments and SPFC, Department of Finance. Other primary aims is to make Procurement Process of the selected departments more transparent, accountable, and promoting fair competition among suppliers and contractors on the other, so that it could enhance economy and efficiency in the whole procurement system.

Rajasthan Rajya Vidyut Utpadan Nigam Limited (RRVUNL): <http://www.rvunl.com/>

It was noticed that most of the departments including *Rajasthan Rajya Vidyut Utpadan Nigam Limited (RRVUNL)* just publish the Notice Inviting Bids (NIBs)/Notice Inviting Tenders (NITs) on their official web portal and same on State Public Procurement Portal (SPPP) as well. But other than the NIBs/NITs no other information related to participation of the bidders, time taken in the complete procurement cycle, award of the contract information, work orders and complaints related to procurement etc. are not uploaded at all neither on the concerned departmental web portal nor on the SPPP. But as per the mandated of the RTPP Act and Rules it is mandatory to do so.

Year	RRVUNL/AoC	RRVUNL/AoC	JVVNL/AoC
2013-14	447/19	438/234	0

2014-15	561/26	316/73	0
2015-16	487/01	552/70	02/Zero

As per the observations made in the procurement process of the RRVUNL, it was noticed that partial information on AoC us uploaded on

the SPPP in the year 2013 and 2014 but in the year of 2015 the same information has drastically been reduced and only in 01 case out of 487 NITs AoC information has been put on the SPPP which show the lack of interest among the Procuring Entities and Procuring Officials towards compliance of the norms and on the other hand making it no binding upon the Procuring Entities and Procuring Officials by the State Procurement Facilitation Cell (SPFC) to follow the same strictly.

It was observed that the same trend as mentioned above was prevailing in the other electricity companies like *Rajasthan Rajya Vidyut Prasaran Nigam Limited (RRVPNL)* <http://www.rvpn.co.in/> and *Jaipur Vidyut Vitran Nigam Limited (JVVNL)* <http://www.jaipurdiscom.com/> as well.

In Rajasthan Rajya Vidyut Prasaran Nigam Limited (RRVPNL)

RRVPNL in year 2013 almost 50 percent of the AoCs of the total NIBs/NITs were uploaded on the SPPP but in the very next year in 2014 this percentage drastically came down to 23 percent or so in the year 2015 it was further down.

Jaipur Vidyut Vitran Nigam Limited (JVVNL)

In the case of *Jaipur Vidyut Vitran Nigam Limited (JVVNL)* till the year 2015, it was not uploaded even a single NIT on SPPP while it was mandatory since February 2013 onwards. During the year of 2015 only 2 NITs have been uploaded so far but not any AoC as yet.

Transparency standards in Chief Engineer (Special Projects), PHED, Jaipur, Rajasthan

Chief Engineer (Special Projects), PHED, Rajasthan		
Year	Tenders on SPPP	AoC on SPPP
2013-14	519	3
2014-15	2298	0

2015-16	2197	4
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The situation of the compliance of the disclosure norms of the procurement related information on the official website of the concerned department as well as on the SPPPP by the office of the Chief Engineer (Special Projects), PHED, Rajasthan is no difference than the Rajasthan Electricity Companies. As per the given table below, all the NIBs/NITs have been uploaded on the departmental website as well as on SPPP but no other information related to public procurement have been uploaded. Out of total 5014 only 07 AoCs were uploaded in three years. This shows the non-compliance of the proactive disclosure related norms by the procuring officials and procuring entities and poor follow-up and weak enforcement by the nodal department.

Transparency standards in Home Department (Jail & Prosecution)

Home Department is very crucial department in terms of maintaining law and order situation and also ensuring justice for all. Home Department has two major procuring entities under it which are Jail and Prosecution As far as the implementation of the RTPPP Act, 2012 and Rules are concerned these are poorly compliant.

Jail:		
Year	Total Tenders	AoC
2013-14	35	1
2014-15	37	3
2015-16	25	3
Prosecution:		
Year	Total Tenders	AoC
2015-16	1	1

In Jail so far 97 NITs have been put on the SPPP so far and out of those only 7 AoCs have been uploaded. The intermediary information related to the rest of the indicators was neither uploaded on the official website of the Jail nor on the SPPP which mandatory as per the act and rules.

On the other side, prosecution department appeared first time on SPPP in the year 2015-16 only and so far uploaded one NIT and its AoC only. It was mandatory from February 2013 onwards to get registered with the SPPP and put each and every NIT which is having value of Rs. One Lakh and more but it seems that prosecution department was not following that.

Transparency standards in IGPR&GVS, Jaipur

IGPRS		
Year	Total Tenders	AoC

2013-14	19	6
2014-15	46	0
2015-16	32	0

IGPRS is a state government sponsored and controlled training institute which comes under Panchayatiraj Department. It carries status of 'State Institute of Rural Development (SIRD)'. So far IGPRS has uploaded more than 97 tenders on the SPPP but only 6 AoCs have been uploaded so far. Most of the procuring entities put on most

of the NITs but in the case of IGPRS it was noticed that around 12 NITs were even uploaded on the SPPP and procurement was done.

On IGPRS web portal total 44 NIBs have been uploaded in the year 2015-16 but in the same year the number of NITs uploaded on the SPPP are only 32 which mean that some of the NITs are there on the official website of the IGPRS but some are not reflecting on the SPPP.

Transparency standards in Jaipur Metro Rail Corporation (JMRC)

JMRC is first of its kind Initiative taken up by state government with the assistance of The Asian Development Bank and Central Government. On SPPP so far total 78 NIBs have been uploaded but AoC of only 14 tenders are there on the other side there are 165 NIBs have been put on the official website of the JMRC.

Year	Total Tenders	AoC
2013-14	4	1
2014-15	52	8
2015-16	22	5

So it looks like there is a huge gap in the NIBs uploaded on SPPP and departmental website which is against the RTPP Act and its Rules.

Based on the findings of the procurement observations made in the selected procuring entities as above, some of the recommendations were given to the Finance department in general and SPFC in particular which are as follows.

Recommendations for action:

- Redesigning the SPPP as soon as possible to accommodate full disclosure of information related to all the procurement processes.
- Strict monitoring by SPFC of the procuring processes in all the public entities need to be ensured.
- More and more trainings or capacity building programmes on RTPP Act and Rules for all the Procuring Officials need to be conducted.
- More public engagement as a civic watch need to be introduced at all levels, all the procuring entities must be registered with SPPP.
- It is noticed that number of PES are still not on SPPP and Formal MoU with Rajasthan Observatory to support and assist to the SPFC where ever required.